

Referral Contract

PO Box 219109 • Kansas City, MO 64121-9109 • 800-525-3713

Complete this form to sign up for the Janus Henderson Referral Program. Please be sure to review and complete Steps 1 through 5 prior to sending the form to Janus Henderson. Use this form to enroll your eligible account(s).

Once Janus Henderson receives the completed/signed form, account application, and eligible assets, you will be enrolled into the program.

Questions?

Call us at 800-525-3713

In a Hurry?

Fax form to 877-319-3852

Step 1 - Provide the Owner/Trustee or Minor's information for the account being established

First Name

Middle Initial

Last Name

Social Security Number

Date of Birth

Address

City

State

Zip Code

Phone Number (required)

E-mail Address (optional)

Step 2 - Provide the Joint Owner/Trustee or Custodian's information (if applicable)

First Name

Middle Initial

Last Name

Social Security Number

Date of Birth

Address

City

State

Zip Code

Phone Number (required)

E-mail Address (optional)

Remember to sign on the last page

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Step 3 - Provide the name and address of the Janus Henderson shareholder who referred you

_____	_____	_____
First Name	Middle Initial	Last Name

Address		

_____	_____	_____
City	State	Zip Code

Step 4 - Please read and sign below

Terms and Conditions

This offer is subject to certain terms and conditions as set forth below and which may change without advance notice. By completing this Janus Henderson Referral Contract, you agree that you are responsible for determining whether to open a Janus Henderson account and for all investment decisions in your Janus Henderson account. All references to "you" refer to the Owner and Joint Owner of this Account, as applicable. Under the Janus Henderson Referral Program, Janus Henderson does not make any recommendations as to whether to open a Janus Henderson account or which Janus Henderson Fund(s) to invest in, and no content on the Janus Henderson website shall be considered a recommendation or solicitation for the purchase or sale of securities, options or other investment products. A complete list of available Janus Henderson Funds, including prospectuses and other related materials, is available at www.janushenderson.com. If you do not provide investment instructions, you will not receive the Janus Henderson Referral Bonus and your assets will be invested in the Janus Henderson Government Money Market Fund. You may contact a Janus Henderson Service Specialist at 800-525-3713 to provide instructions as to your investment choice(s) or to change your investment instructions at any time. You will typically receive the Janus Henderson Referral Bonus payment within approximately 30 days after our receipt of your signed contract in good order, and your completed account application, establishing the required automatic monthly investment of at least \$50 per month. Market volatility, volume and system availability may delay account access and/or the availability of the Janus Henderson Referral Bonus payment in your account. If this account includes a Joint Owner, both Owner and Joint Owner jointly and severally agree that either Owner or Joint Owner may provide investment instructions to Janus Henderson regarding this account, and that Janus Henderson shall have no liability, including without limitation those related to market volatility, as to conflicting or duplicative account instructions received from Owner and/or Joint Owner.

Janus Henderson Referral Bonus payment

To receive your Janus Henderson Referral Bonus payment, your Referral Contract and Account Application must be received and in good order within 60 days of the Janus Henderson direct account opening, including providing investment instructions, and you must establish an automatic monthly investment of at least \$50 per month. The total Referral Bonus payment amount your account will be eligible to receive is \$100. Your Referral Bonus payment will be invested in the same Janus Henderson Fund for which you have set up an automatic monthly investment amount. If you do not elect an investment option, you will not receive the Referral Bonus payment.

Remember to sign on the last page

Step 4 - (continued)

Restrictions apply

Multiple accounts with the same tax ID number as the Owner and/or Joint Owner do not qualify for multiple Referral Bonus payments. Offer is available subject to the account eligibility requirements set forth in the Janus Henderson Funds' prospectus, and is not transferable except between the Owner and Joint Owner of the Account. This offer is not valid with internal transfers on accounts already invested in Janus Henderson Funds, existing Janus Henderson accounts that are being re-registered by a beneficiary, or re-registration of jointly held accounts to individual accounts. This offer may be combined with the Janus Henderson Investment Bonus Program and the Janus Henderson Rollover/Transfer Bonus Program, but not with any other offers. Please contact Janus Henderson to determine if you are also eligible to participate in the Janus Henderson Investment Bonus Program and the Janus Henderson Rollover/Transfer Bonus Program (collectively, the "Janus Henderson Bonus Program"). Only new investors creating a new Janus Henderson direct account, that have been referred by an existing Janus Henderson direct investor and that are funded with a purchase or Referral Bonus payment on or after January 1, 2024, are eligible for this referral program. This date is subject to change without prior notice to you. Any Janus Henderson Referral Bonus payment made to your Janus Henderson account may be forfeited upon Janus Henderson's sole discretion if you (i) close, transfer, terminate or otherwise withdraw your Janus Henderson funds, in whole, within 720 days of Janus Henderson's receipt of such funds in good order, or (ii) if you remove the automatic monthly investment amount during the initial 720-day period. The decision to purchase to, from or among accounts (including within the available investment options offered by Janus Henderson) may result in adverse tax consequences including capital gains and/or losses and may impact the tax basis of your investments. The tax basis for your investment may differ from the tax basis of your Referral Bonus payment. Consult with your tax advisor about the appropriate tax treatment for this offer and any tax implications associated with receipt of the Janus Henderson Referral Bonus payment before enrolling. Any related taxes are your responsibility. Janus Henderson may decline requests to enroll in the offer at its discretion. The offer does not apply to accounts managed by independent investment advisors, and Janus Henderson reserves all rights to determine whether an account qualifies for this offer.

The decision to open or purchase into a Janus Henderson account is an important one and Janus Henderson does not provide any investment advice or recommendations as to whether you should do so. Carefully consider all of your available options, which may include but is not limited to keeping your assets in your current account(s). Prior to a decision, be sure to understand the benefits and limitations of your available options and consider factors such as differences in investment-related expenses, account fees, available investment options, distribution options, legal and creditor protections, tax treatment, and other concerns specific to your individual circumstances. The Janus Henderson Referral program and the Referral Bonus payment should not be determinative factors in your decision to open a new Janus Henderson direct account.

YOU SHOULD CAREFULLY CONSIDER THE CHARGES, RISKS, EXPENSES, AND INVESTMENT OBJECTIVES BEFORE INVESTING. FOR A PROSPECTUS OR, IF AVAILABLE, A SUMMARY PROSPECTUS CONTAINING THIS AND OTHER INFORMATION, PLEASE CONTACT A JANUS HENDERSON SERVICE SPECIALIST AT 800-525-3713 OR DOWNLOAD THE DOCUMENT FROM JANUSHENDERSON.COM. READ IT CAREFULLY BEFORE YOU INVEST OR SEND MONEY.

Remember to sign on the last page

Step 4 - (continued)

Janus Henderson Funds are advised and sponsored by Janus Henderson and any investments or deposits you make in your Janus Henderson account, including the Janus Henderson Referral Bonus payment, will result in fees paid to Janus Henderson and/or its affiliated companies. Such fees include investment management fees and related expenses associated with an investment in a Janus Henderson Fund(s) and may reduce the overall value of your investment over time. Under the Janus Henderson Referral Program, Janus Henderson does not make any investment recommendations, does not monitor your account(s), does not select your investment choices and accordingly does not make any determinations as to the appropriateness of fees and expenses associated with your investments.

The terms and conditions of this Janus Henderson Referral Contract are supplemental to, and should be read in conjunction with, the terms and conditions of your Account Application contract, prospectuses, and other related materials.


Janus Henderson reserves the right to change the terms, restrict, or revoke this offer at any time without advance notice. This is not an offer or solicitation in any jurisdiction where we are not authorized to do business.

Janus Henderson does not provide tax or legal advice and nothing in this document should be construed or relied upon as such. You are responsible for consulting with your own tax advisor or attorney related to such matters.

Step 5 - Please read and sign below


By signing below, I agree:

That the information provided is accurate and that I will continue to comply with the terms and conditions of the prospectus. Due to the important tax consequences associated with this offer, I have been advised to consult with a tax professional. Both Owner and Joint Owner must sign this Contract, if applicable.

X

Signature of Account Owner/Trustee or Custodian

Date

X

Signature of Joint Account Owner/Trustee (if applicable)

Date

Along with this contract be sure to include:

- **Completed account application**
- **Method for funding the new account**