

ISA APPLICATION FORM

Valid from 6 April 2024

You should use this form if you wish to invest in the Janus Henderson Fund Management UK Limited range within an Individual Savings Account ("ISA"). Further information is contained in the Prospectus, the Key Investor Information Document ("KIID") and Additional Information Document ("AIID") which includes the ISA Terms and Conditions. Please complete this form in **BLOCK CAPITALS** and return it to **Janus Henderson Investors, PO Box 9023, Chelmsford CM99 2WB**. Cheques should be made payable to **'Janus Henderson Fund Management UK Limited'**.

SECTION 1 – About yourself

| | |
|--------------------------------|---|
| Title | Nationality (private individuals) |
| Surname | Date of birth** <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| First name(s) | National Insurance Number** |
| Permanent residential address* | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| | If you do not have a National Insurance Number please tick this box** <input type="checkbox"/> |
| | If you or your spouse/civil partner are an employee of the Crown please tick this box** <input type="checkbox"/> |
| Postcode | Account number (for existing account holders) |
| Main tel no. | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| Email | |

*We are unable to accept a c/o or PO Box address.

**We are required by HM Revenue & Customs to obtain these details. You should be able to find your National Insurance Number on a payslip, form 45 or P60, or a letter from HM Revenue & Customs or the Department of Work and Pensions. If you are unable to provide this information, it may delay the processing of your application form. If we do not receive all information necessary to complete your application within 30 days of originally receiving it, your investment may be made in the fund(s), but the ISA tax benefits may not apply. Please contact us at the address shown at the top of this form, or on 0800 392 392 if you are uncertain how to proceed.

Advisor Details

To be completed by your Financial Advisor if appropriate. By placing your details here and/or by placing business with us, you confirm that you have received, read and are bound by our Intermediary Terms of Business, available at www.janushenderson.com/advisers.

Agency ref:

☐ Advice not given by your Financial Advisor to the customer.

Discount %:

Commission payable %:

☐ Advice given by your Financial Advisor to the customer.

(where **neither** box has been ticked, we will apply your default status ("advised" or "execution only") to this transaction)

SECTION 2 – Investment selection

I apply to subscribe for a stocks and shares ISA for the tax year **2024/2025** and each subsequent year until further notice. (Please be aware that this statement, recommended by HM Revenue & Customs, enables you to invest in future tax years without the need for a new application form. It does not oblige you to invest with Janus Henderson Fund Management UK Limited in subsequent tax years unless you decide to do so.)

The maximum annual ISA allowance is £20,000 for the tax year 2024/2025.

Please select the fund(s) and the share/unit class you wish to invest in using our **investment options form** and write the fund details and your investment amount in the appropriate box, depending on whether you wish to invest a lump sum or by monthly savings. Further information on the funds and share/unit classes can also be found within the Key Investor Information Document ("KIID") and each fund's Prospectus.

If you are investing via regular savings, remember to complete the Direct Debit mandate on the last page of this application.

| Fund name | Share/Unit class | Lump sum | Monthly saving* |
|---------------------------------|------------------|----------|-----------------|
| Example: All Stocks Credit Fund | A Acc | £1000 | £100 |
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*Monthly saving

Please indicate the date on which you wish collections to be made. If not specified, we will collect on the 1st of each month. We will collect on the next business day following these dates if they fall on a non-business day

1st of the month ☐ 15th of the month ☐

SECTION 3 – Your bank details

Please note, if you do not provide us with your bank/building society details, your application may be rejected. We will pay future redemption proceeds and any income payments to the bank/building society details below until such time you instruct us otherwise.

| | |
|-------------------------------|--------------------------------------|
| Bank/Building society name | Account name* |
| Bank/Building society address | Bank/Building society account number |
| | Branch sort code |
| | Building society roll number |
| Postcode | (if applicable) |

*Payments can only be made to an account holder.

Tick here if you would prefer to have your income reinvested to purchase additional shares/units. ☐

Prior to making any payments to the bank details provided above, we will need to verify your details. We will attempt to do this electronically however if this fails, we will need to contact you for an original or PDF copy of an online bank or building society statement confirming your sort code and account number, dated within the last 3 months with your full name and address. Alternatively, you may prefer to attach your bank verification along with this completed application form.

SECTION 4 – Declaration and authority

I declare that all subscriptions made and to be made belong to me and that I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, a lifetime ISA and an innovative finance ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA. I further declare that I am 18 years of age or over and I am resident in the UK, or perform duties which, by virtue of section 28 of the Income Tax Earnings & Pensions Act 2003 (Crown employees serving overseas) are treated as being performed in the UK or I am married to or in a civil partnership with a person who performs such duties. I will inform Janus Henderson Fund Management UK Limited if I cease to be so resident, or to perform such duties, or be married to or in a civil partnership with a person who performs such duties.

I hereby authorise Janus Henderson Fund Management UK Limited to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; to make on my behalf any claims to relief from tax in respect of ISA investments and on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investment or any cash.

The information on this completed application form is correct to the best of my knowledge and belief. I have read and agree to be bound by the Prospectus, including those parts that explain the basis on which Janus Henderson Fund Management UK Limited will provide certain services to me. I have received and read an up-to-date version of the KIID. The Prospectus, Key Investor Information Document ("KIID"), and Additional Investor Information Document ("AIID"), as well as the ISA Terms and Conditions and Individual Savings Account Regulations 1998, will constitute a written agreement between me and Janus Henderson Fund Management UK Limited, may be updated and/or amended from time to time in accordance with their terms, and can be found in the Documents section of our website www.janushenderson.com. I consent to being provided with the KIIDs in this form via the website and not addressed to me/us personally.

I accept that under United Kingdom legislation and regulations concerning the prevention of money laundering and terrorist financing, all investors must be identified and I may be required to provide additional information to verify my identity.

I confirm that I cannot be classified as a US person (as defined in the Prospectus).

I acknowledge that US persons cannot hold shares or units in any of the funds listed.

I hereby authorise Janus Henderson Fund Management UK Limited as plan manager to manage this ISA.

I have received and read an up-to-date version of the KIID.

I acknowledge that the KIID can be obtained from the Documents section of the website at www.janushenderson.com and I consent to being provided with the KIIDs in this form via the website and not addressed to me personally.

I further confirm that the medium of a website is appropriate in the context of the business between me and Janus Henderson Fund Management UK Limited. Please contact us at the address on the top of this form, or call us on 0800 832 832, if you need further assistance.

Signature

Date

Data Protection and Consent to Marketing

Your personal information is governed by applicable data protection laws including but not limited to the General Data Protection Regulation (EU) 2016/679 (GDPR) and Janus Henderson's Privacy Policy. The Privacy Policy details our collection, use and processing of your personal data (including the data you provide in this form) and sets out your rights. A copy of the document is available under the Privacy Policy section of our website at www.janushenderson.com and in hard copy by sending a request to privacy@janushenderson.com.

We would like to send you updates about our expert insights, events and webcasts and information about our products and services. For these purposes, your details may be shared with companies within the Janus Henderson Group. We will always treat your details in accordance with our Privacy Policy and you will be able to unsubscribe at any time. **If you consent to receive such updates and information, please tick this box.** ☐

SECTION 5 – Important information

Monthly savings - If making monthly savings, you must fill in the direct debit form and return it with this application form. Money laundering regulations oblige us to request that your first monthly investment is by a personal cheque drawn on the same account as your direct debit.

Lump-sum and monthly savings - Lump-sum payments can be made in addition to monthly direct debits.

Income paid for lump-sum investors only - If you want to receive income please complete Section 3 with details of the bank/building society account into which you want payments to be made. Alternatively, where applicable, income will be automatically reinvested for you.

Cancellation rights - If you apply directly to us, you will not have the right to cancel your investment. If you apply via an authorised financial adviser, you will receive a cancellation notice which gives you 14 days to change your mind. However, if the value of your investment has fallen between the time of issuing your shares or units and us receiving your cancellation notice, we may deduct the amount by which it has fallen from your payment before returning your money to you.

Application checklist

Please read the following as incomplete applications may have to be returned.

1. Have you completed in full the 'About yourself' information in Section 1?

☐
2. Have you supplied your date of birth and National Insurance Number (or ticked box if you do not have a National Insurance Number)?

☐
3. Have you selected the fund(s) in which you wish to invest and the amount you wish to invest per fund?

☐
4. Have you supplied your bank details? As we may not be able to proceed with your application without them

☐
5. Have you signed and dated the application form in the space provided?

☐
6. If you are a lump-sum investor (income shares/units only) and want income paid out to you, have you completed the income and redemption payments section and provided verification of the bank account? If you do not complete this, your income, where applicable, will automatically be reinvested to purchase further shares/units in the fund(s). If you are investing via monthly savings, where applicable, your income will automatically be reinvested.

☐
7. Have you enclosed your cheque made payable to **Janus Henderson Fund Management UK Limited**

☐

Please do not use staples.

Please return your application form and cheque(s) to **Janus Henderson Investors, PO Box 9023, Chelmsford CM99 2WB.**

If you have any questions relating to your application, please call our Investor Services team on **0800 832 832.**

Janus Henderson

INVESTORS

Please fill in the whole form using a ball point pen and send to:
Janus Henderson Investors, PO Box 9023, Chelmsford, CM99 2WB.

Instruction to your bank or building society to pay by Direct Debit



Name and full postal address of your bank or building society

| | |
|-----------------|-----------------------|
| To: The Manager | Bank/building society |
| Address | |
| Postcode | |

Name(s) of account holder(s)

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Bank/building society account number

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Branch sort code

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Service user number

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| 6 | 9 | 6 | 7 | 1 | 3 |
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Reference

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Instruction to your bank or building society

Please pay Janus Henderson Fund Management UK Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Janus Henderson Fund Management UK Limited and, if so, details will be passed electronically to my bank/building society.

| |
|--------------|
| Signature(s) |
| Date |



Banks and building societies may not accept Direct Debit Instructions for some types of account.

This Guarantee should be detached and retained by the payer

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Janus Henderson Fund Management UK Limited will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Janus Henderson Fund Management UK Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Janus Henderson Fund Management UK Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Janus Henderson Fund Management UK Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

We may record telephone calls for our mutual protection, to improve customer service and for regulatory record keeping purposes.

Issued by Janus Henderson Investors. Janus Henderson Investors is the name under which investment products and services are provided by Janus Henderson Investors International Limited (reg no. 3594615), Janus Henderson Investors UK Limited (reg. no. 906355), Janus Henderson Fund Management UK Limited (reg. no. 2678531), (each registered in England and Wales at 201 Bishopsgate, London EC2M 3AE and regulated by the Financial Conduct Authority) and Janus Henderson Investors Europe S.A. (reg no. B22848 at 2 Rue de Bitbourg, L-1273, Luxembourg and regulated by the Commission de Surveillance du Secteur Financier).

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